

ATTORNEY'S DOCKET NO. T0457/7003 TJO  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

sector #  
#3

Applicant: Tao T. Tao et al.  
Serial No: 09/837,864  
Filed: April 18, 2001  
For: ELECTROCHEMICAL DEVICE AND METHODS FOR ENERGY  
CONVERSION

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 13 day of August 2001.

*Karen M. O'Angelo*

Signature

**BOX MISSING PARTS**  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

- [X] Copy of the Notice to File Missing Parts, including signed Declaration for Patent Application and Statement of Small Entity Status
- [X] Fee Calculation Sheet
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$1857 is enclosed to cover the filing (\$355), addl claims (\$1437) and surcharge (\$65) fees. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

Timothy J. Oyer, Reg. No. 36,628  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500

x08/13/01

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Attorney Docket No. T0457/7003 TJO

Inventor(s): Tao T. Tao et al.

Serial No: 09/837,864

Filed: April 18, 2001

For: Electrochemical Device and Methods for Energy Conversion

CHECK BOX, if applicable:

☐ DUPLICATE

### Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE		
	TOTAL CLAIMS (37 CFR 1.16(c))	113-20=	93x	\$ 18	= \$ 1,674.00		
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	18-3=	15 x	\$ 80	= \$ 1,200.00		
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00		
	Fee for Petition for Extension of Time (if any)				\$		
			Total of above Calculations =		\$ 3,584.00		
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 1792.00		
	Other Fees (Missing Parts surcharge)				\$ 65.00		
	TOTAL =				\$ 1857.00		

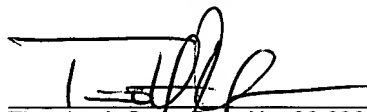
1. A check in the amount of \$1857.00 is enclosed.

**General Authorization to Charge Deposit Account and General Request for Extension of Time**

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.

3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

  
Timothy J. Oyer, Reg. No. 36,628  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500

Docket No. T0457/7003 TJO  
Mailed: August 13, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

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 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,864	04/18/2001		T0457/7003 TJO

CONFIRMATION NO. 7511

## FORMALITIES LETTER



\*OC000000006176846\*

 23628  
 WOLF GREENFIELD & SACKS, PC  
 FEDERAL RESERVE PLAZA  
 600 ATLANTIC AVENUE  
 BOSTON, MA 02210-2211

Date Mailed: 06/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2874.
  - \$1674 for 93 total claims over 20.
  - \$1200 for 15 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3714.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/16/2001 HDENESS1 00000044 09837864

01 FC:201 355.00  
 02 FC:202 600.00  
 03 FC:203 837.00  
 04 FC:205 65.00

